

# Systems Engineering Approach for the Orion Pad Abort-1 Flight Test

John Saltzman

NASA Dryden Flight Research Center

February 10, 2011





# Outline

---



- PA-1 Introduction (short video)
- PA-1 Roles & Responsibilities & System Providers
- Gathering inputs from Parent Stakeholders
- Organizing the project to build the system – (project-centric culture)
- Project Structure used to cross communicate
- Defining the system architecture & requirements
- PA-1 Lifecycle approach
- Verification approach
- Conclusions

NOTE: Lessons learned embedded throughout presentation



# Presentation Context

---



- Slides also intended to serve as a future use reference
  - Slides will tend to have more stand-alone wording
- Will not delve into specific SE data base tools, Config. Mgmt. processes, etc...
  - PA-1 Project did have Config. Mgmt. process, Risk Mgmt. processes, problem reporting process, data base tool (for requirements traceability & verification tracking),
  - Focus more on basic approaches & lessons learned rather than specific process & tools
- Made approach & lessons learned more generalized - apply to most SE challenges
- Address the human element in implementing a SE approach across a project



# Lamborghini



- 0 to 60 mph in 3.8 sec
- 0 to 100 mph in 8.6 sec
- 631 horsepower



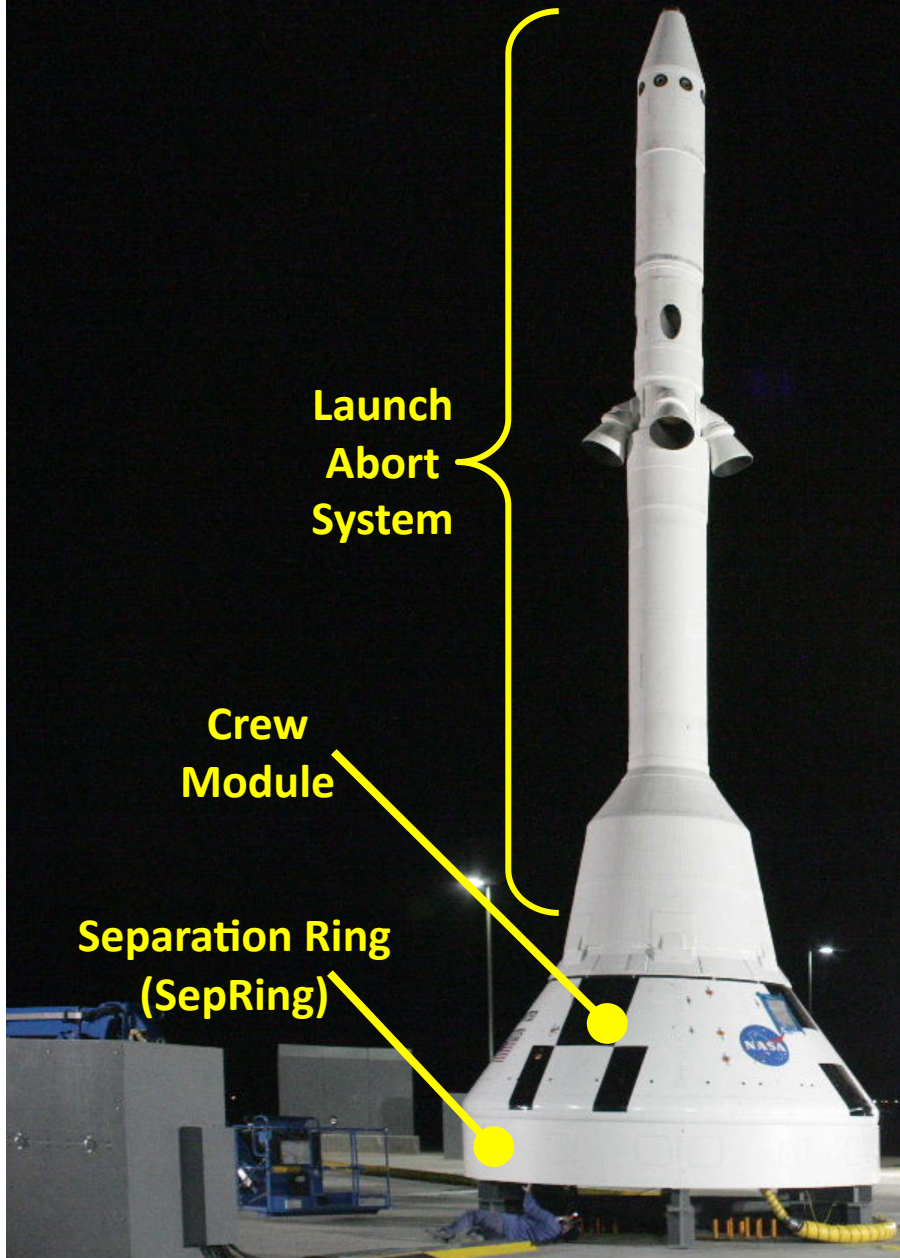
# Orion Launch Abort System

- 0 to 60 mph in 0.28 sec
- 0 to 100 mph in 0.42 sec
- 500,000 lb thrust
- > 16g for 3 seconds

Launch  
Abort  
System

Crew  
Module

Separation Ring  
(SepRing)



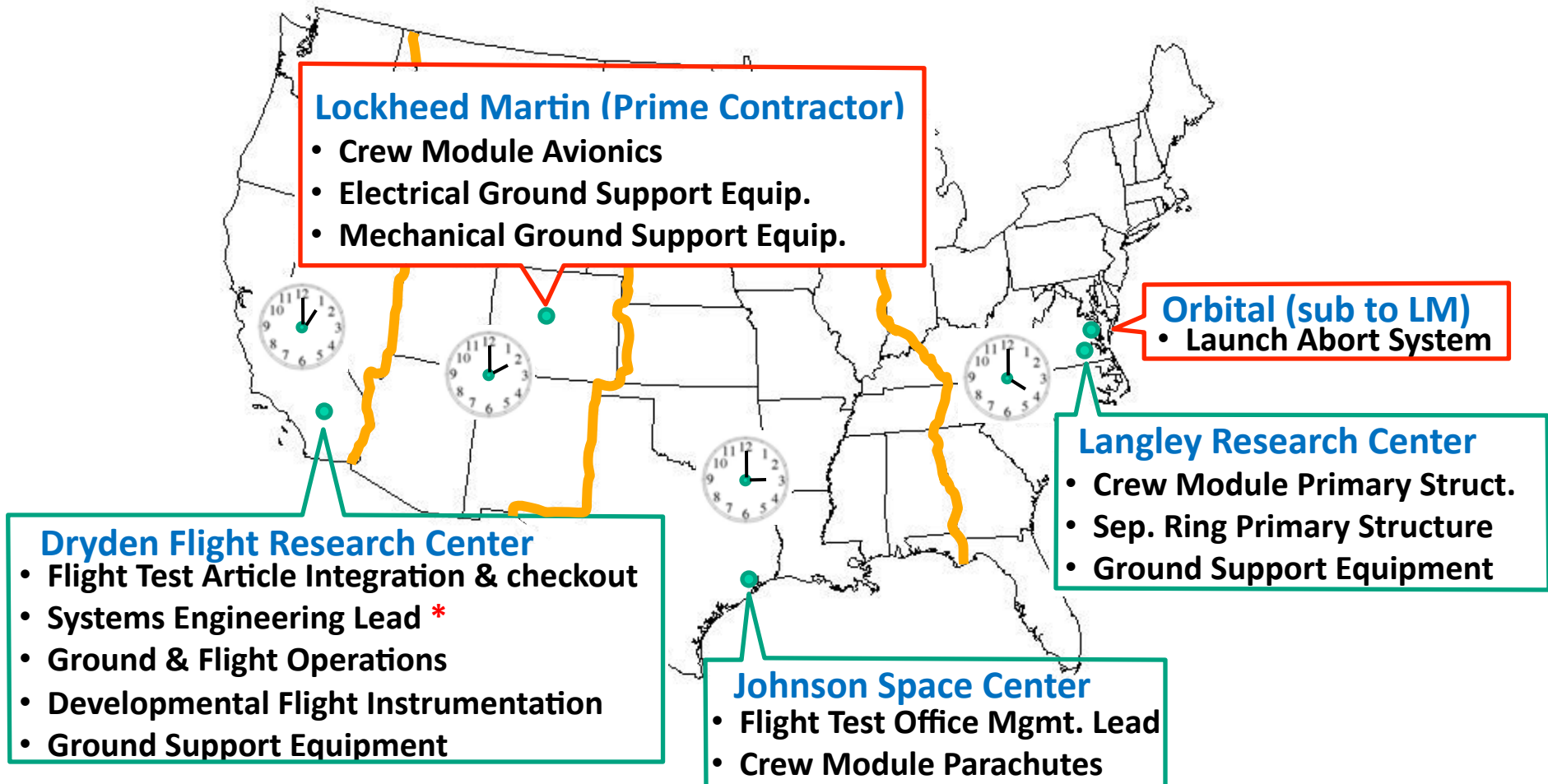
**Insert Pad Abort – 1 launch video here!!!**

- *From: [www.vimeo.com/11631855](http://www.vimeo.com/11631855)*



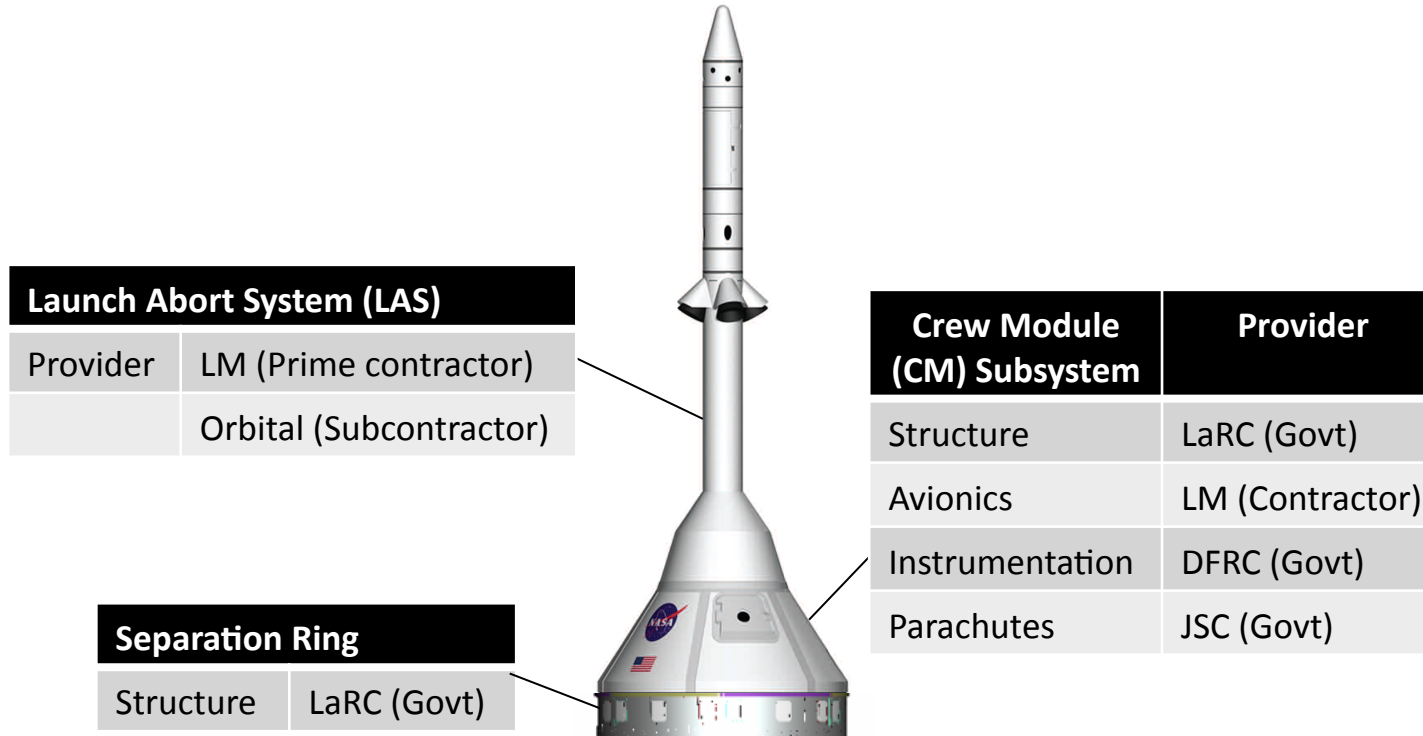
# PA-1 Project-Wide Roles & Responsibilities



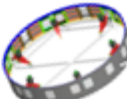

(spanned across 4 time zones)





# PA-1 Flight Test Article & Providers



Flight Test Article (FTA) = LAS (  ) + CM (  ) + SepRing (  ) = 

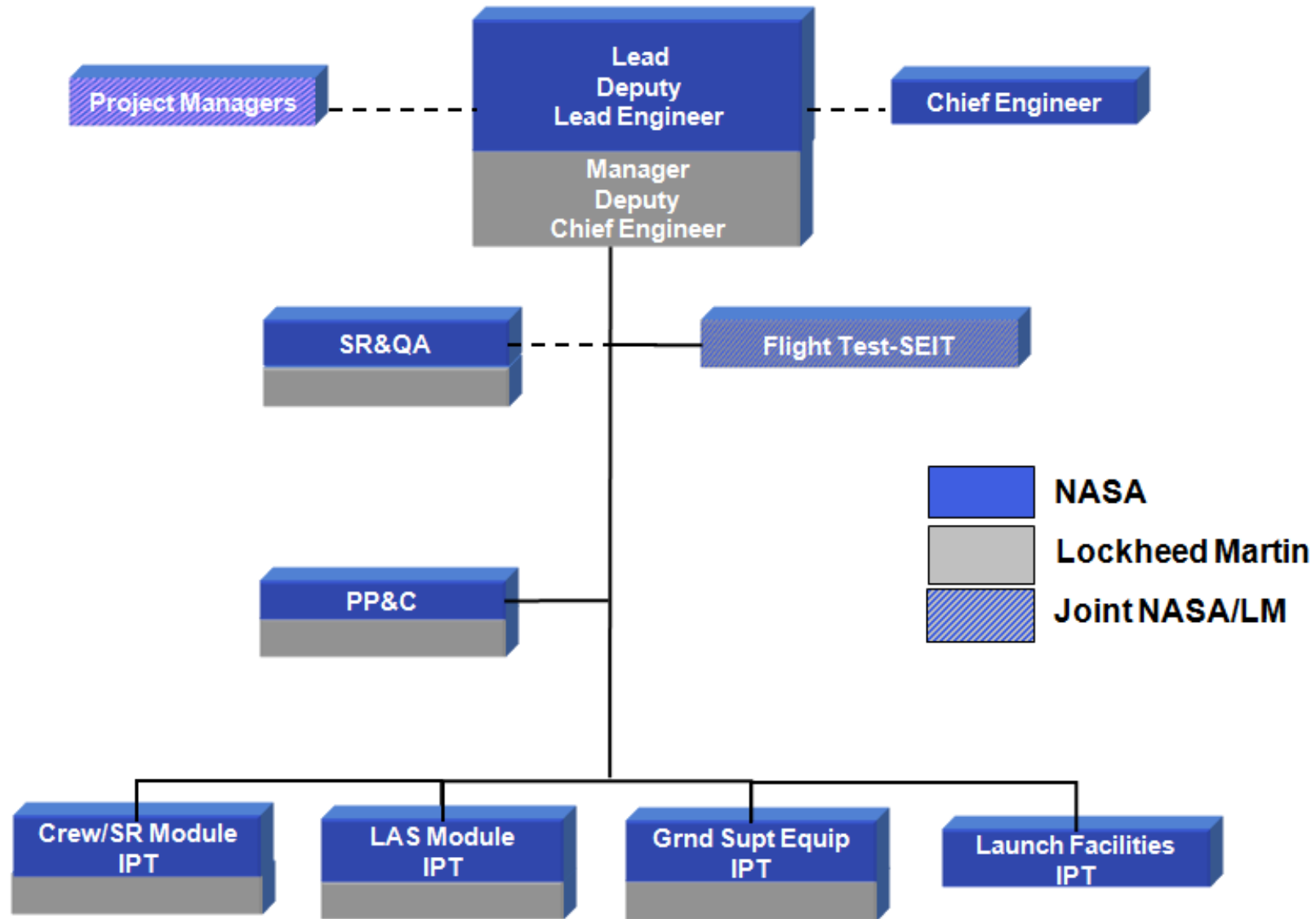
Launch Abort Vehicle (LAV) = LAS + CM





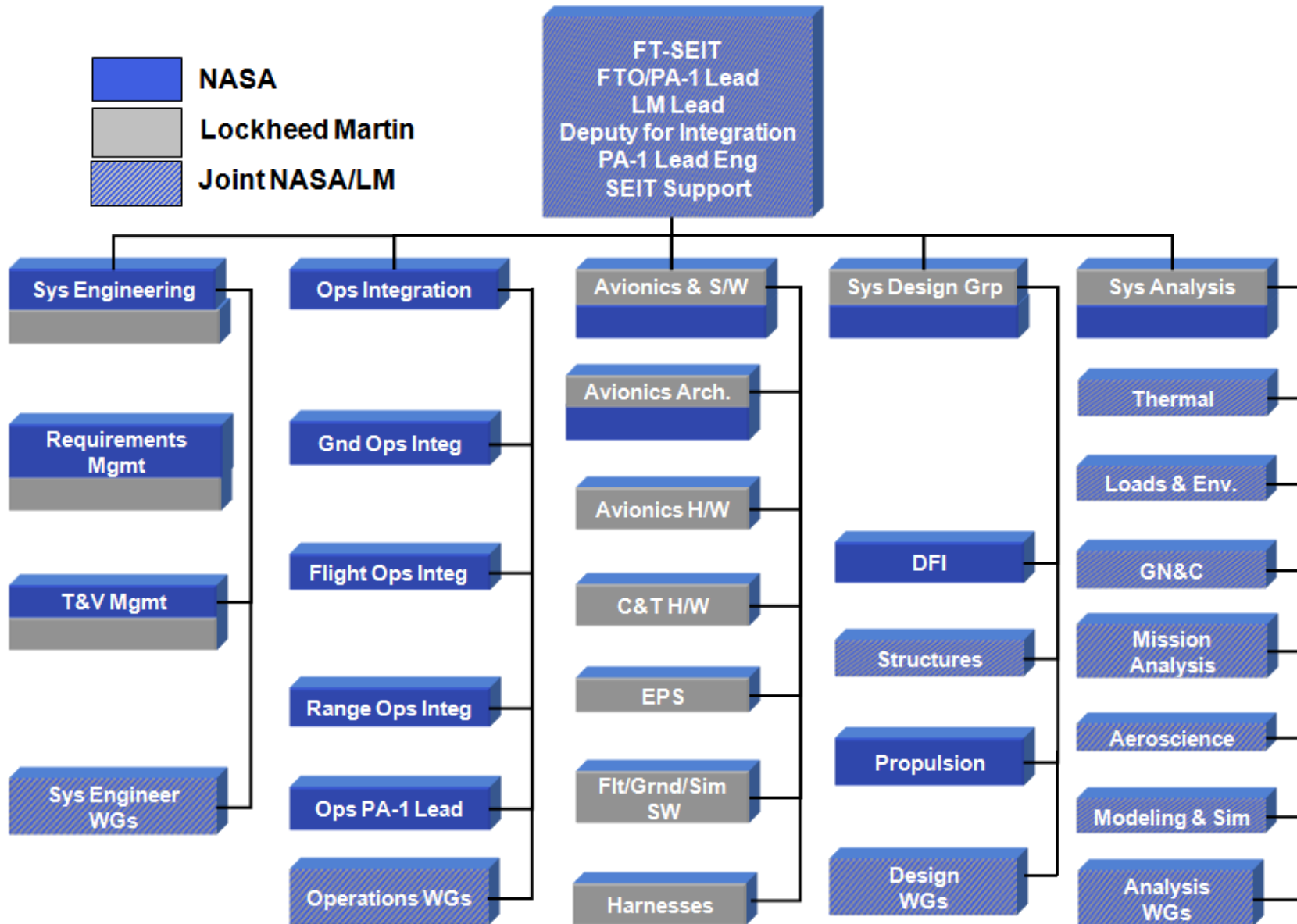
# Flight Test Office (FTO) Org. Chart for PA-1

(for reference)





# Systems Engineering Integration Team (SEIT) Org. Chart for PA-1 (for reference)





# Gathering inputs from ALL the Customer Stakeholders

(What we learned on PA-1)



**Need good representation from your primary customer & system stakeholders early in your lifecycle.**

- Besides the primary customer, get inputs from other system stakeholders
  - Anyone than can drive your system requirements
  - i.e. Orion project, Launch site safety, missile treaties, standards, etc...



**Gathering all stakeholders can be more difficult than expected**

- NASA stakeholders commonly spread out across multiple centers, agencies & industry partners
- Cross-talk amongst system stakeholders may be hampered
- Need 'community organizer' approach to gather stakeholder inputs early



**If Johnny-Come-Lately's join the system stakeholder forum late:**

- Risk of adding late driving reqts (additional work & schedule delays)
  - Applies to both baselining project reqts & technical review entrance / exit criteria.
- May induce huge delays (& costs) if late inputs result in modifying a major contract or redesigning.







# Finding out what the Customer Needs

(What we learned on PA-1)



Initial draft of Mission / Flight Objectives received from customer were not mutually understandable.

- Could have been interpreted differently between the parties (project & customer).



Assumed mutually understandable Mission / Flight Obj. would be delivered the first time on a silver platter (*not the case*)

- Needed to broker some of their Orion production goals into a flight test realm
- Solution: We drafted what 'we' thought their needs were
  - Then asked them to tell us where we were wrong.



Project & customer need to establish a technical rapport

- Was necessarily tedious & difficult to accomplish
- Lowered the risk of unknowingly talking past each other
- Avoided discovering disconnects later in the lifecycle
  - Usually at integration... (too late)

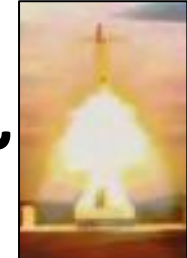


Commonly understood reference point (Little Joe II) was used to directly engage the customer in mutually understandable discussions for Mission / Flight Objectives.

Apollo



~



Orion

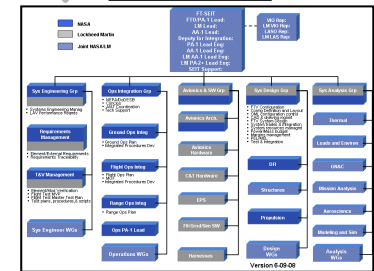
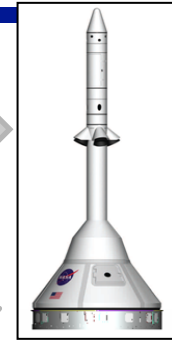


# Organizing the Project to... Build the System (What we learned on PA-1)



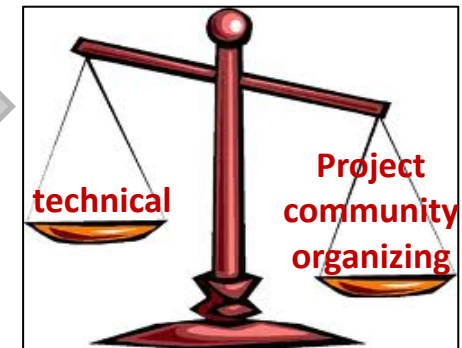
## Two - Layers to the systems engineering challenge:

1. Definition, Development, Verification of the system under test
2. Definition and structure of the support organizations (the people)
  - I was taught... The structure of the project needs to reflect your system architecture.
  - Dinesh Verma, Dean School of Systems & Enterprises @ Stevens Inst. Of Tech.
  - Gaps in project structure = gaps in system function & performance.



## Expand on Challenge #2: Coordinate different groups at multiple levels across different parts of the country

- Less of a purely technical effort
- More of an Engineering / project-based community organizing effort



## Upcoming Slides to address Challenge #2:

- From: Fragmented Organizational-centric (NASA centers & contractors) cultures
- To: Single project-centric culture.
- SE personality type needed to engage communication across project teams
- Organizational structure reflecting the architecture... for PA-1 project



# From: Multiple Organizational Cultures To: Single Project-centric culture



## What we learned from PA-1....

Newly defined project roles & responsibilities, processes established across a large (multiple org.) project are not instantaneously carried out in a perfect manner.

It takes some mutual pain (& more time than most like) to transition:

- From: Non-integrated Center & contractor set of cultures, to an...
- To: Integrated project-centric culture.

Need influential advocates (community organizers) from each org working together.

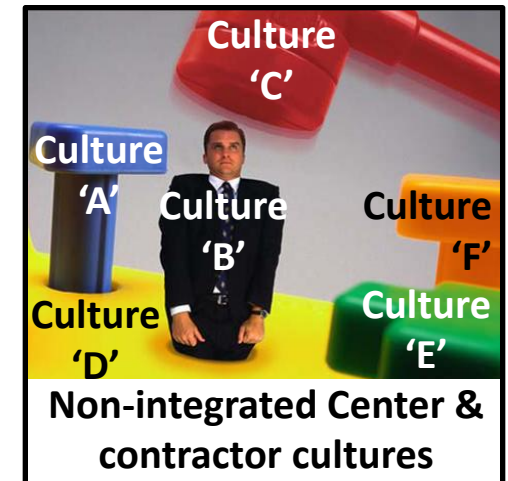
- Key agents from each org advocate project-centric culture, approach, processes back to their group.

Need a comprehensive approach / plan to define / develop / test system as well as structure project.

- Each org buys into.

On PA-1: Became predominantly known as a project-centric culture between PDR & CDR

- Biased opinion of presenter, not scientific assessment







# From: Multiple Organizational Cultures To: Single Project-centric culture



## What we learned from PA-1.... (Cont.)

Set up communication forums / hubs for technical cross talk

- Roll call & status from all discipline leads



Need team-wide collaborative web environment

- One place to find the latest document version & related info.
- Very helpful with coordinating & tracking verification
- Sometimes difficult to achieve
  - Organizational web security standards
  - Contractual / proprietary issues among project partners



Project & Team-wide meeting calendars were essential

- One reference point for team meetings.



Team social events away from PowerPoint venues were beneficial

Flight Test Office had direct control over most project teams....

- But only had 'influence' over some project teams
  - Could not rely on direct (contractual) authority
  - Rely even more on community organizing skills to engage these groups and... *the mgmt structure above them.*
  - Dedicate person within project to work directly with 'influence-only' partners.





# From: Multiple Organizational Cultures To: Single Project-centric culture



## What we learned from PA-1.... (Cont.)

### Watch out for the typical engineering drill-down mentality

- “I’ll focus on my part, you focus on yours...”
- Most engineers delight in avoiding the human interaction aspect of engineering and desire to focus solely on the product itself.
- Reiterate: Engrs. need to think & talk across org. & system boundaries



### Project communication gaps swarm around Lone Rangers

- Project Community Organizers need to spot & close these gaps



### Assume cross-functional project communication will fail at some point unless:

- Key disciplines across project are proactively & directly engaged regularly... throughout lifecycle
- “Unless everyone who needs to know does know, ... somebody somewhere will foul up”
  - Eberhardt Rechtin, 1997, The Art of System Architecting





## From: Multiple Organizational Cultures To: Single Project-centric culture



### What we learned from PA-1.... (Cont.)

#### Some PA-1 evidence of a project-centric culture:

Unsolicited comment from a Lockheed avionics engineer to a NASA systems engineer (PA-1 post-flight '*social*' event):

- "It would be a shame to break up this team... For example, whenever I wanted, I could just pick up the phone and talk directly to the (LaRC) structures lead to see how possible changes affect us both."







## Systems Engineering / Community Organizer traits:

- Don't necessarily have to be overly social →
- However SE'ers need to:
  - Engage a wide variety of personality types across the project
  - Be very approachable
  - Recognize communication gaps, for example:
    - Only hear repeated concerns on only one side of the story / issue.
    - No clear way for groups to engage each other
  - Carry forward concerns / issues over communication barriers
  - Be organized... beyond just yourself
    - Also be an organizer
  - Participate in regular forums that promote cross-talk
- Value added if above qualities apply to project leads as well.
  - Others on the project can help organize, but....
  - It's the SE's job to assure the organizational structure supports the architecture





## Valuable Systems Engineering traits when Organizing a Project (continued)



When project leads are not a fan of NPR 7123.1a

- Don't confront them as if you're the NPR police...
- Win them over by asking, "How can we best make '\_\_\_\_\_' clear to others within the project?"
- This is how they can meet the intent of NPR 7123.1a .... w/o them knowing it (*sneaky...*)
- In the background you can check off the NPR 7123.1a check-list



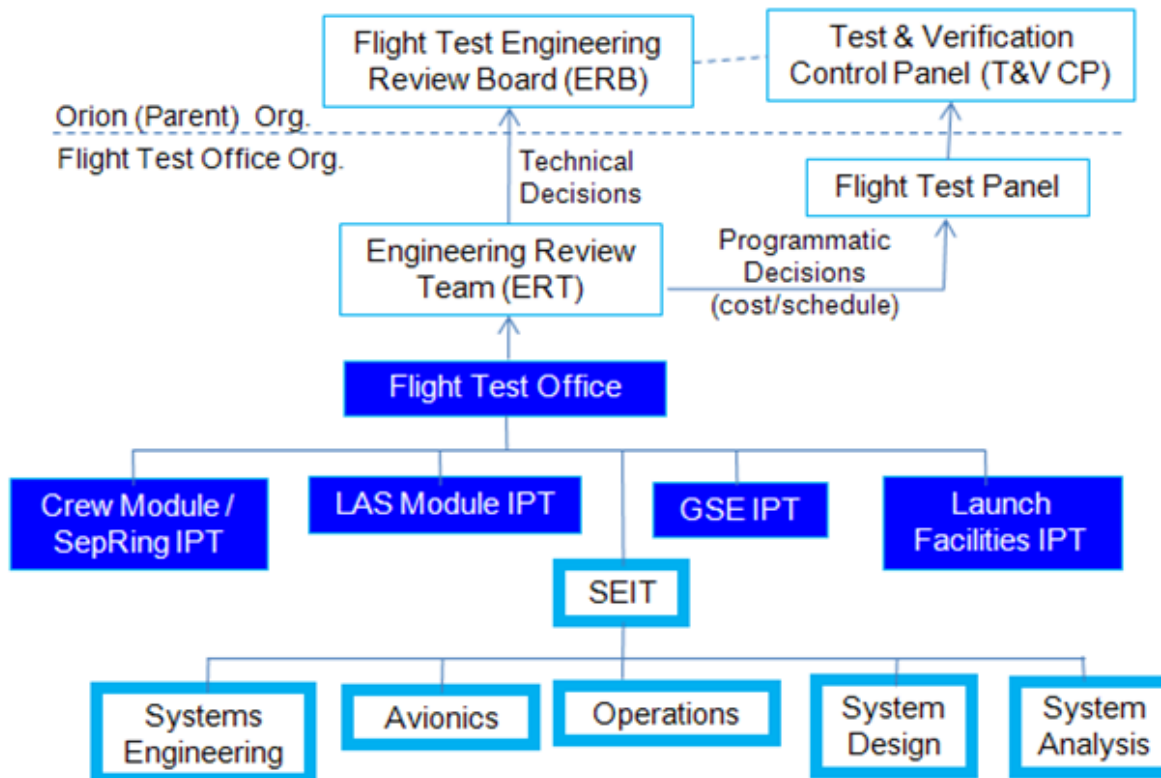
Some project leads may not fully understand Systems Engineering

- Help ghost-write their requirements if necessary
  - This was done for 1 module and 1 subsystem on PA-1





## Project structure used to establish project-centric culture (for PA-1)



Positions were discipline & deliverable specific, not center specific.

Can't guarantee this is the best way to organize, but:

- It was clear and understandable to the team... which compensates for a lot.

### Parent Org (Orion) Structure:

- **ERB:** Technical decisions impacting parent org
- **T&V Control Panel:** Cost / schedule decisions impacting parent org.

### FTO Org. Structure:

- **ERT:** Tech. decisions w/in FTO
- **Flt. Test Panel:** Cost / schedule decisions w/in FTO
- **4 Module level IPT's**
- **SEIT (5 branches)**
  1. Systems Eng.
  2. Avionics (largest & most complex subsystem)
  3. Operations
  4. System Design
  5. System Analysis
- **Met every week**



# Defining the Architecture

---



- **“If social cooperation is required, the way in which a system is implemented and introduced must be an integral part of its architecture.”**
  - Rechtin, E. “Systems Architecting, Creating & Building Complex Systems”





# Defining the Architecture (Cont.)



- Before we generated system requirements, we defined the architecture



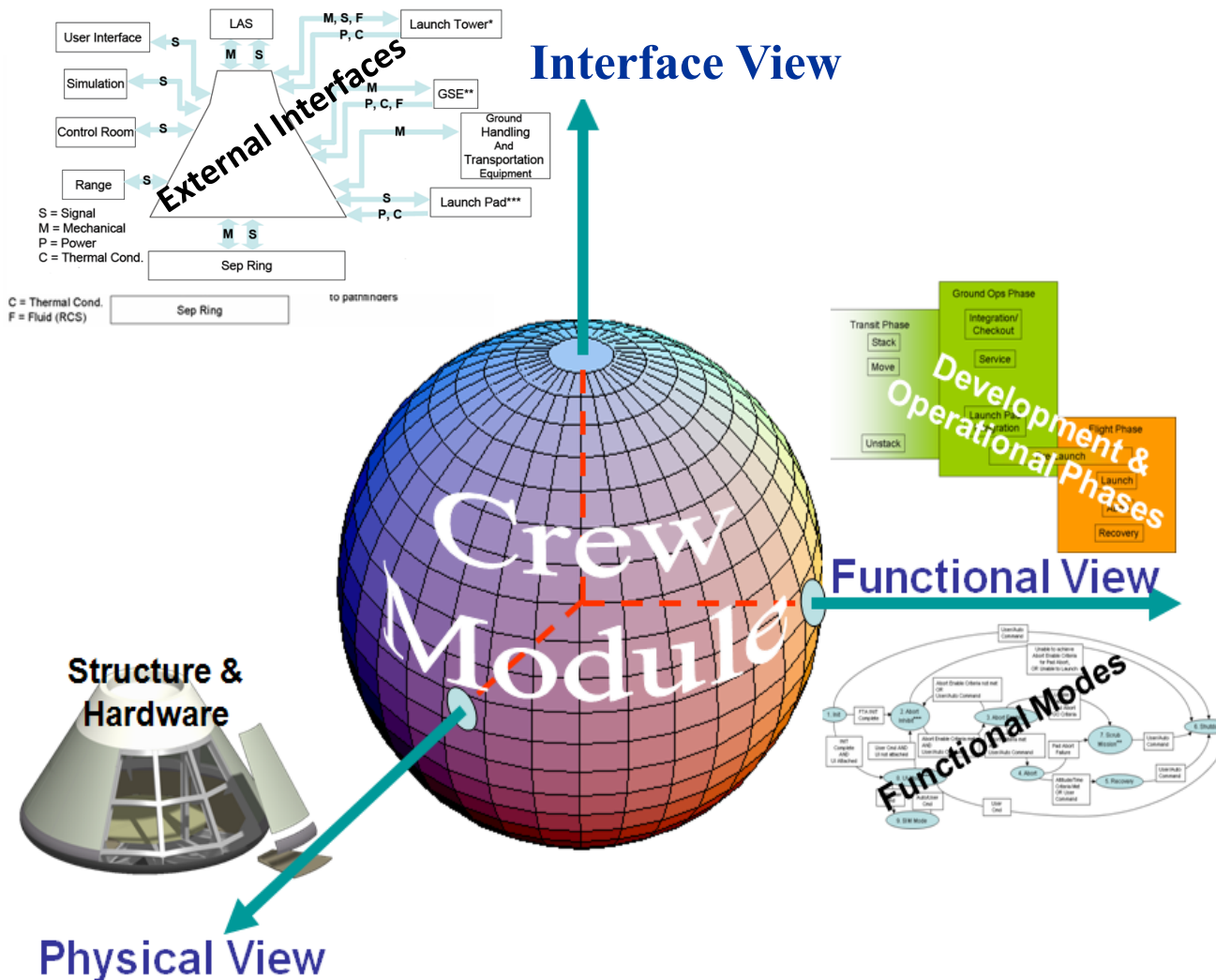
## Definition of architecture helped:

- Define spec. tree hierarchy
- Define requirement allocation categories
- Define boundaries of elements within system
- Next slide... looked at system elements from 3-views



# Example of 3-View Architecture Definition for Crew Module

(This approach was used across the system)



Took global perspective of system elements:

- **Functional View**

- Dev. & Op. Phases
- Functional Modes
- Sample slides shown

- **Interface View**

- External Interfaces
- Sample slides shown

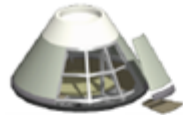
- **Physical View**

- High Level Physical Attributes
- More detailed attributes (weight, C.G., Moments of Inertia, OML) in a separate Geometry & Mass Properties doc.
- No sample slide

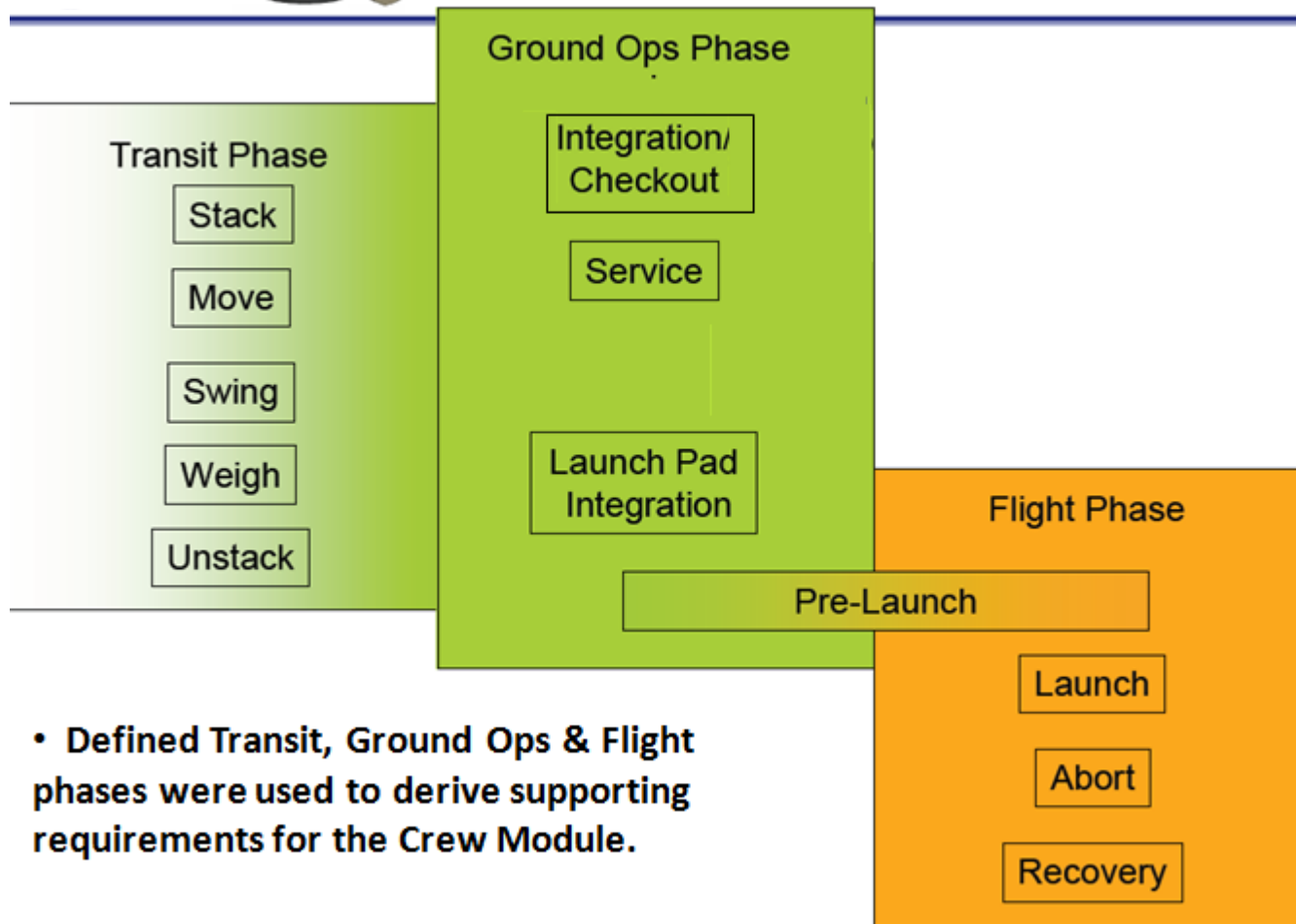


# Actual 'Phase' Chart shown @ PA-1 SRR

(From Functional View)



## Crew Module Phases

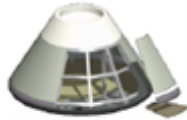


- Defined Transit, Ground Ops & Flight phases were used to derive supporting requirements for the Crew Module.

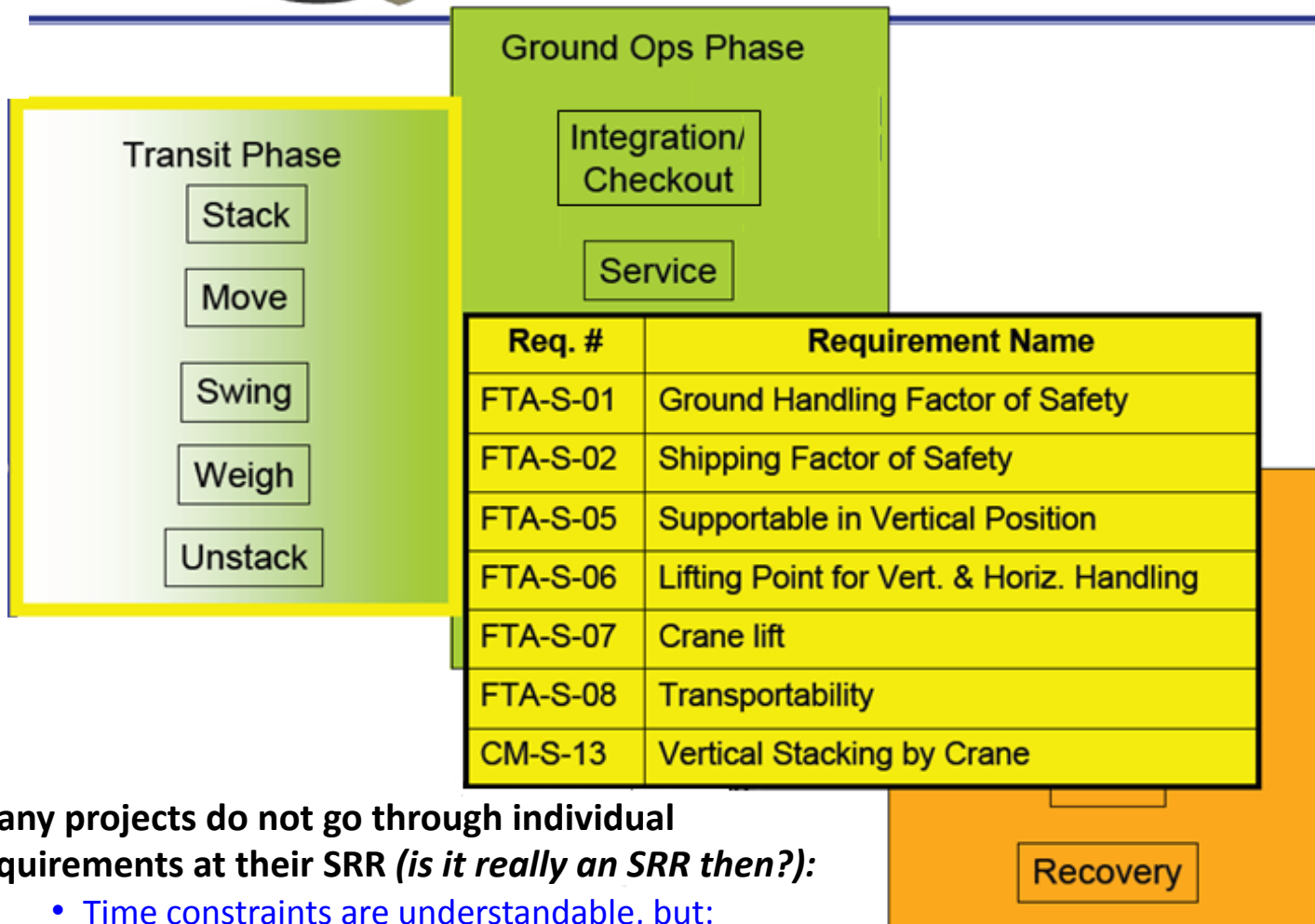


# Actual 'Phase' Chart shown @ PA-1 SRR (Cont.)

(From Functional View)



## Crew Module Phases



Many projects do not go through individual requirements at their SRR (*is it really an SRR then?*):

- Time constraints are understandable, but:
- Example above is proof it's possible to review requirements at a 'paraphrased' level at SRR.



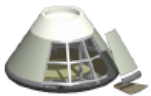


# Actual 'Functional Mode' Chart shown @ PA-1 SRR

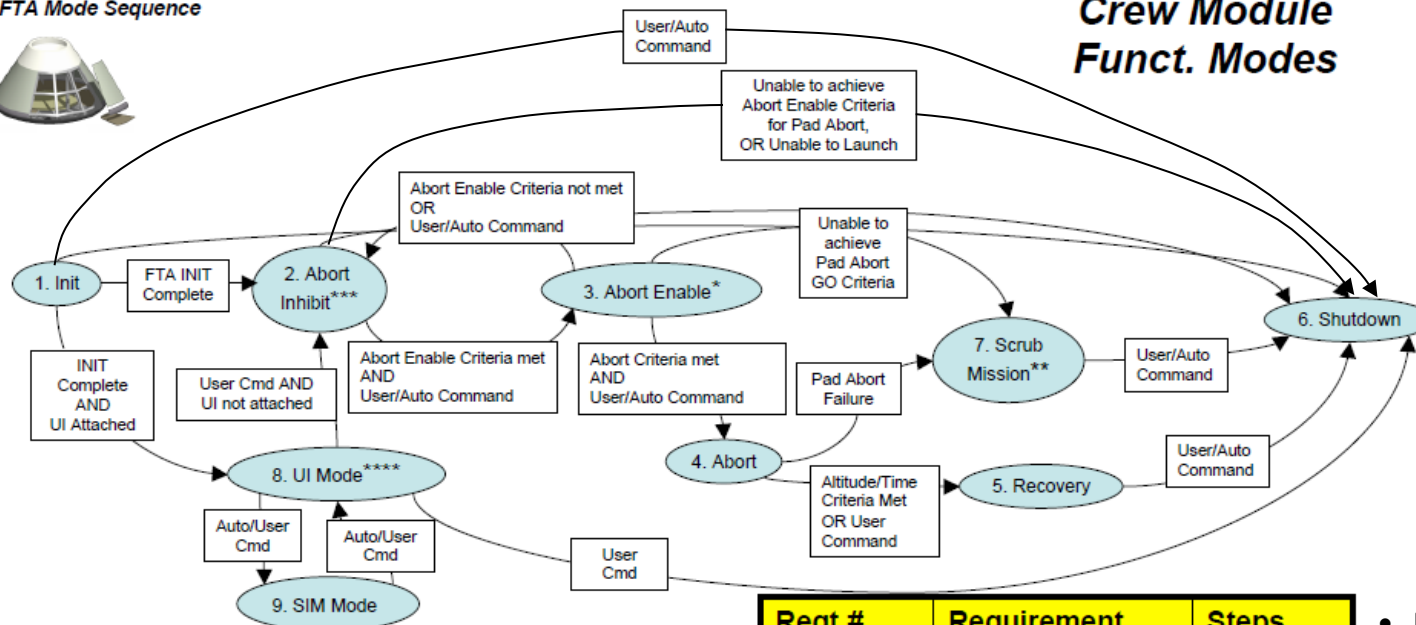
(From Functional View)



FTA Mode Sequence



## Crew Module Funct. Modes



Req# #	Requirement	Steps
FTA-F-07	Startup	1
FTA-F-24	Init to IU	1 to 8
FTA-F-08	Abort Inhibit	2
FTA-F-09	Init to Abort Inhibit	1 to 2
FTA-F-10	AI to AE	2 to 3
FTA-F-11	AE to AI	3 to 2
FTA-F-12	AI to Shutdown	2 to 6
FTA-F-13	Failed Launch SD	2 to 6

Req# #	Requirement	Steps
FTA-F-02	Abort	3 to 4
FTA-F-14	Failed Abort SD	3 to 7 to 6 4 to 7 to 6
FTA-F-03	Recovery	4 to 5
FTA-F-15	Shutdown	5 to 6
FTA-F-23	Init to SD	1 to 6
FTA-F-25	UI to SD	8 to 6
FTA-F-28	UI to Sim	8 to 9
FTA-F-26	Sim to UI	9 to 8
FTA-F-29	UI to AI	8 to 2

- Paraphrased versions of the requirements were used to walk reviewers thru the requirements at SRR in an expedient manner.

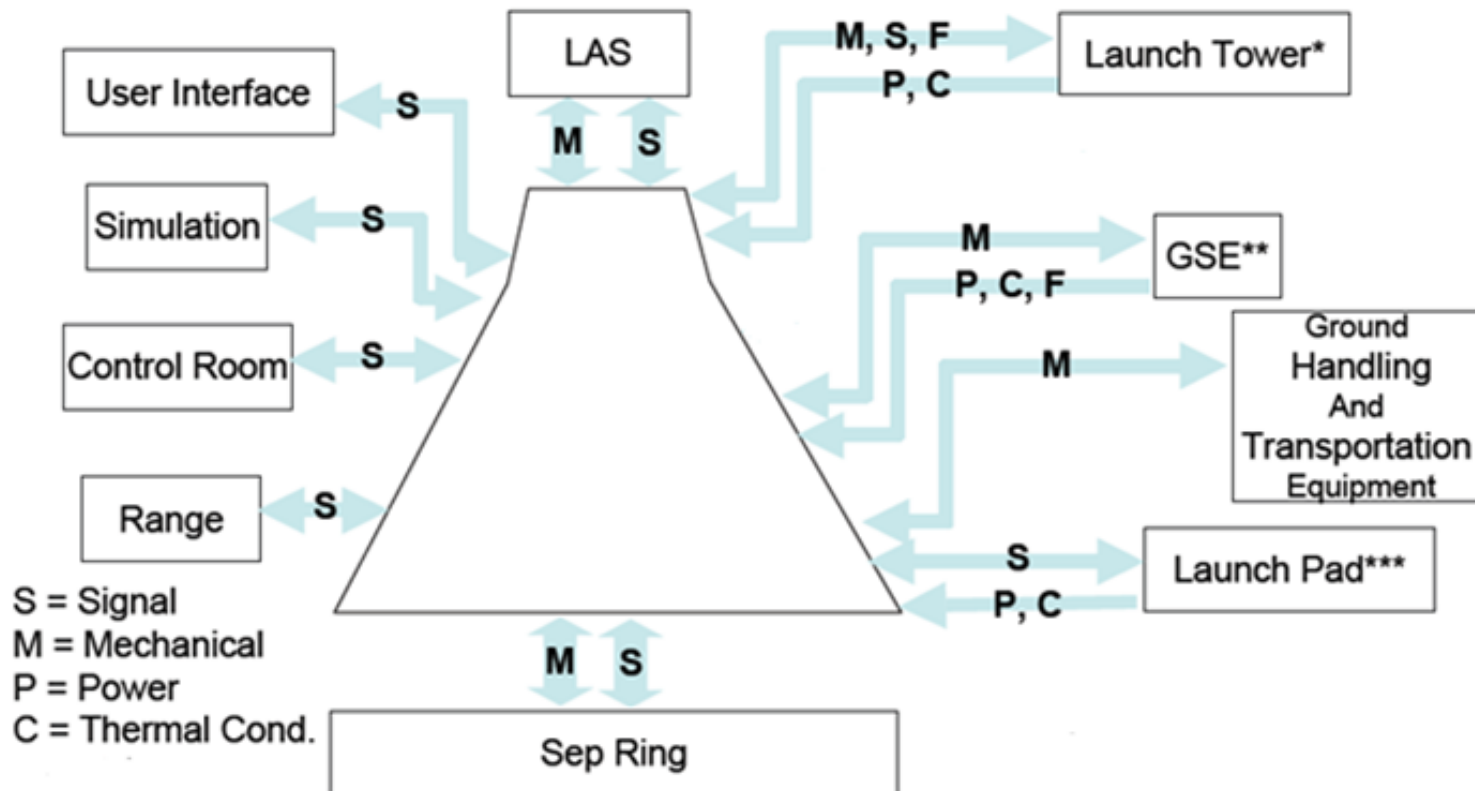


# Actual 'External Interface' Chart shown @ PA-1 SRR

(From Interface View)



## Crew Module External Interfaces

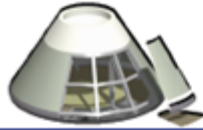


- Used to get stakeholder agreement on external interface types

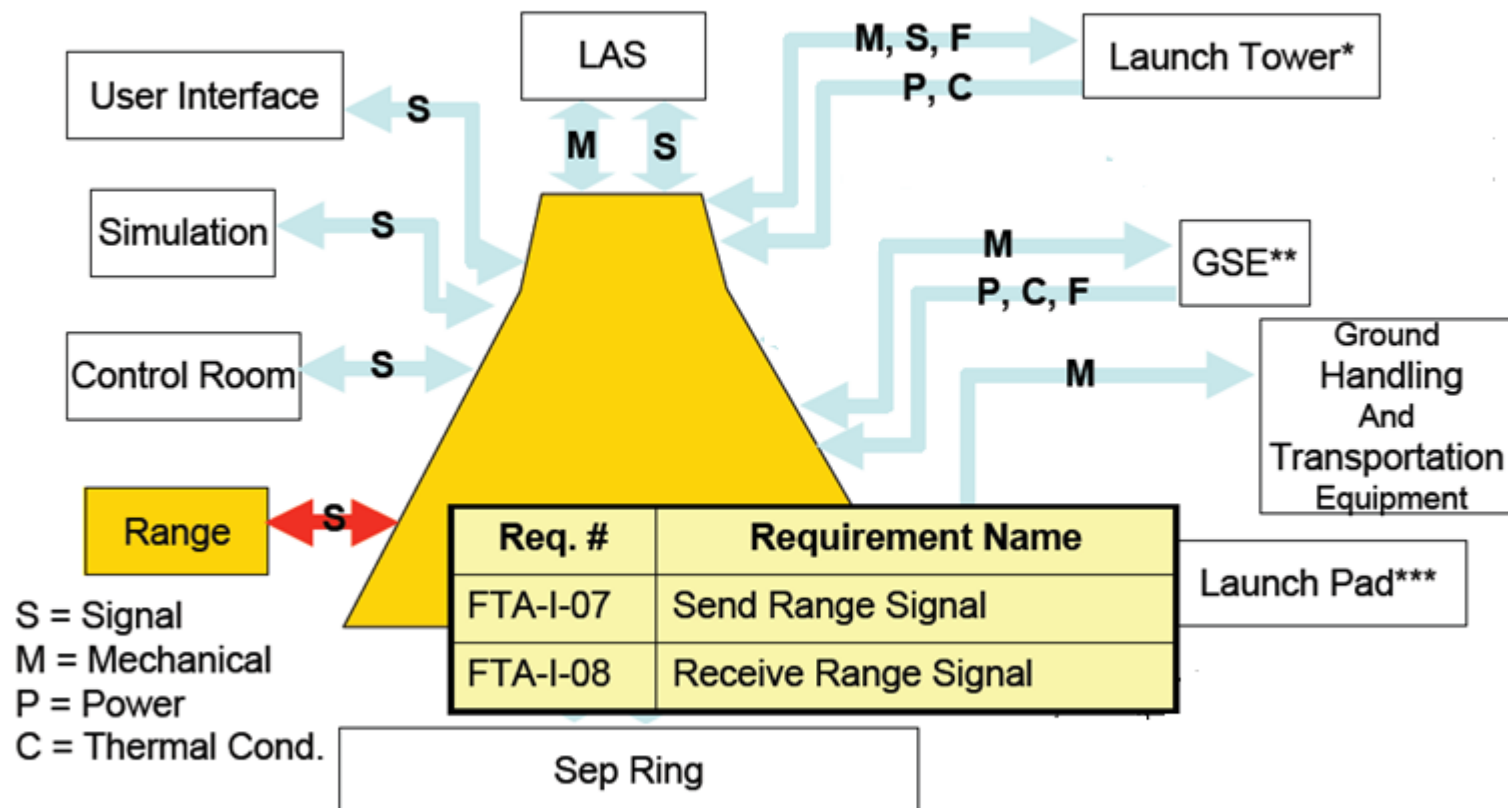


# Actual 'External Interface' Chart shown @ PA-1 SRR (Cont.)

(From Interface View)



## Crew Module - Range Interface



- Paraphrased versions of the requirements were used to walk reviewers thru the requirements at SRR in an expedient manner.



# Top Tier of PA-1 Spec Tree

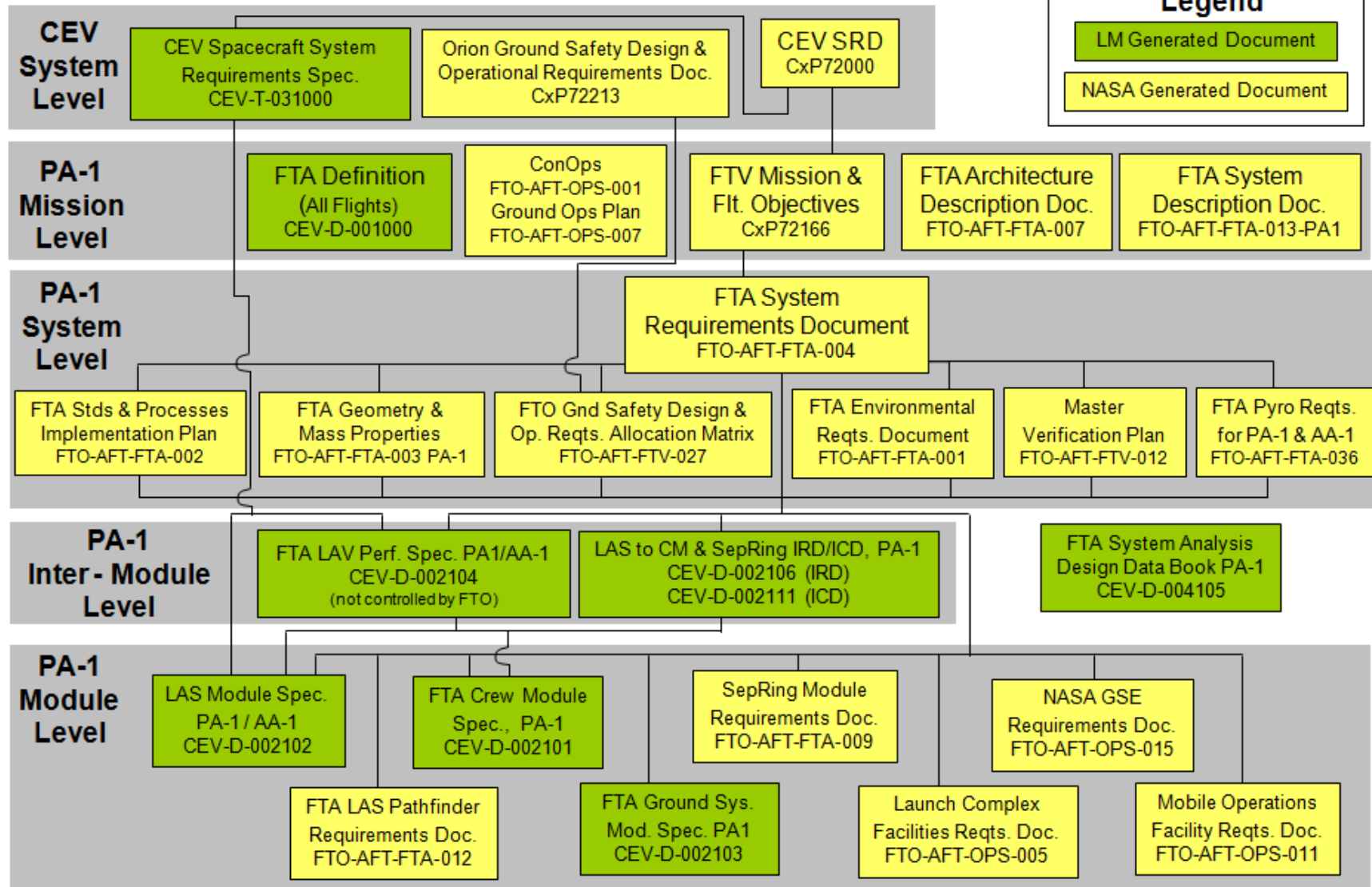
(For Reference)



## Legend

LM Generated Document

NASA Generated Document







# Defined System & Instrumentation

## Sensors in a parallel manner



### Mission Objectives drove the system-wide design

Mission Objective: ... demonstrate satisfactory perf. & operation of the LAS.

Mission & Flight Objectives

Standard allocation to lower level requirements

System Requirements Document

Module A

Module B

Module C

Subsystem A

Subsystem B

Subsystem C

### Flight Objectives Drove Master Measurement List for the sensors

Flight Objective: Determine stability char. of LAS+CM configuration during a pad abort

#### • Measure Of Performance (MOP):

- Evaluate LAV attitude (including flight path angle,  $\psi$ ,  $\theta$ ,  $\phi$ )

#### • Evaluation Criteria:

- LAV dynamics compared to 6-DOF simulation, adjusting for day-of-flight conditions

#### • Required Parameters:

- LAV position, velocity, acceleration, attitude, angular rates, angle of attack, sideslip, estimated thrust from abort motor, day-of-flight winds, and atmospheric conditions derived from on-board measurements.

Data Analysis Plan

Master Measurement List (MML)

- LS041V: Z-axis acceleration ....
- LS0....



# Pad Abort 1 Review Lifecycle



- **“Before proceeding too far, pause & reflect! Cool off periodically and seek an independent review”**
  - Douglas R. King, 1991

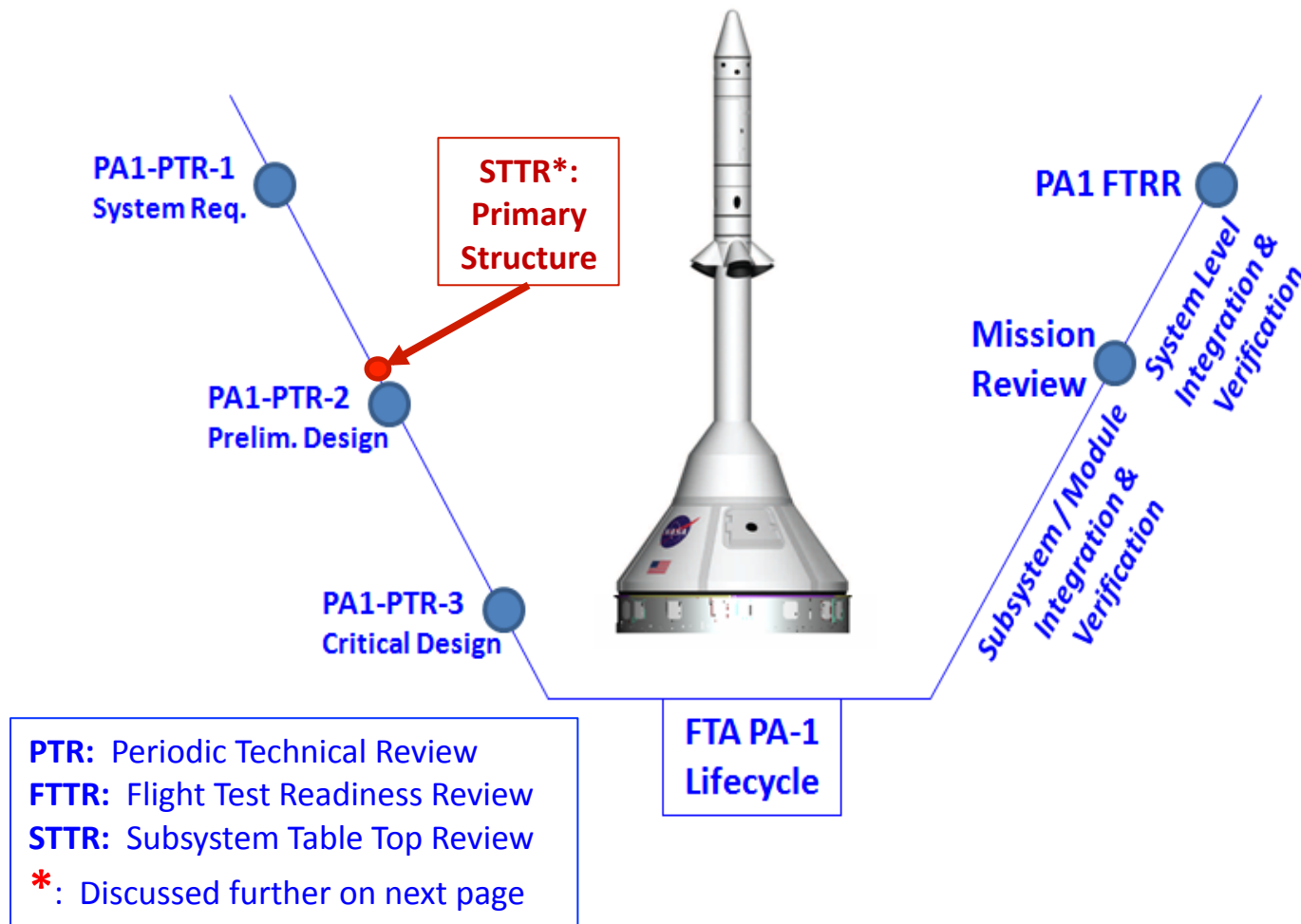


- **“If you think your design is perfect, it’s only because you haven’t shown it to someone else.”**
  - Harry Hillaker, 1993





# Pad Abort 1 Review Lifecycle (Cont.)





## Pad Abort 1 Review Lifecycle (Cont.)

(What we learned on PA-1)



### Technical Review Entrance / Exit criteria tailored from NPR 7123.1a Appendix G

- Approved by customer well before each review
- Resulted in mutually clear expectations for each review early-on



### Early coordination with customer helped achieved timely buy-off of review approach

- Increased likelihood of reviews meeting customer expectations
- Without early coordination: Increase risk of surprising customers at the review (“... can’t proceed to the next phase until.... you do A, B, C, etc...”)

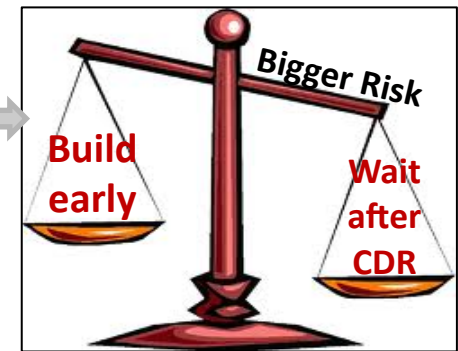


- **WARNING:** Customer may still change their mind on review criteria
  - But, baseline criteria will help justify impacts



### STTR approach used to approve procurement & basic design of CM Primary Structure before PDR (yes, I said PDR).

- Used only if:
  - Risk of expediting project is lower than the schedule risk of waiting for the review
  - Have a well established risk mgmt system to track / update risk mitigations (i.e. workable retro-fits for increased loads from downstream analysis).



**Risk scale** Page 33





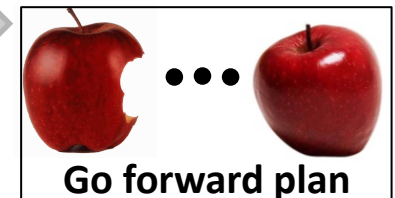
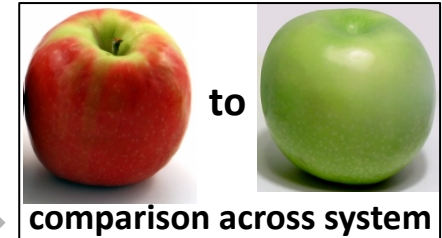
## Pad Abort 1 Review Lifecycle (Cont.)

(What we learned on PA-1)



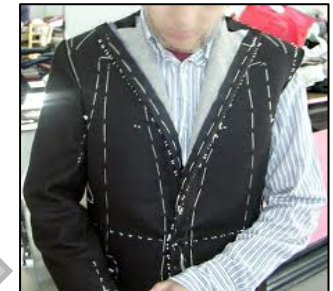
**Entrance / Exit criteria used to define presentation template for each subsystem at each technical review.**

- Provided consistency for each subsystem presentation
- Made it easier to define subsystem readiness gaps (issues) & go fwd plans
- Reduces chance of overlooking something important across system



**Tailoring of entrance / exit criteria was / is key:**

- I was taught... Strictly following a text book approach for systems engineering on a project would practically guarantee failure.
  - Dinesh Verma, Dean School of Systems & Enterprises @ Stevens Inst. Of Tech
- Do NOT deny engineering judgment from past pain



**Examples of 'tailored' subsystem presentation templates shown on next 2 slides for PDR.**



# Example of Subsystem presentation outline / template for PDR (PTR-2)



- Entrance Criteria – tailored from NPR 7123.1a for your subsystem
  - Schedule – Subset of the master schedule for your particular subsystem / deliverables
  - Document/s Status – Self explanatory
  - Driving Requirements – Shows requirements that are causing your design to be ‘what it is.’
  - Safety – Hazards pertaining to your particular subsystem
  - External Interfaces – Summary of interfaces external to your subsystem
  - Design Concept – Block diagrams, Sketches, Drawing trees, Analysis
  - T&V Approach – Basic description of Test approach and how requirements will be verified.
  - Issues & Resolutions – Identify open issues and a plan on how they will be resolved.
  - Go Forward Plan – Path to CDR
  - Exit Criteria – tailored from NPR 7123.1a for your subsystem
- 
- Resulted in reviewers knowing expected topics for each subsystem.
  - Enabled reviewers to consistently compare subsystem readiness across the system.
  - Made it easier for project to pro-actively define go-forward plans for subsystem ‘issues’



## Example of Subsystem Entrance / Exit Criteria template for PDR (PTR-2)



PTR-2 Subsystem Level Entry Criteria	Slide
Preliminary subsystem specs for each H/W & S/W CI	
Draft Subsystem Interface Requirements Docs	
Draft Interface Control Documents	
Design / Analysis Documentation	
Engineering Drawing Trees	
T&V Planning	

- Consistently showed reviewers 'how' each subsystem met its share of the system-wide entrance / exit criteria.

- If template not used... could result in inconsistent coverage from subsystem to subsystem.

- Reviewers may conclude project coordination is inconsistent

- Warning flags go up



PTR-2 Subsystem Exit Criteria	Evidence	Slide
Subsystem requirements defined & trace to parents & are allocated to components & external subsystems	<ul style="list-style-type: none"><li>Driving Requirements show traceability</li><li>Requirement allocations are in specs</li></ul>	
Subsystem Level designs exist and are consistent with their corresponding requirements set	<ul style="list-style-type: none"><li>Design spec complete with ___ TBD/Rs</li><li>Design drawings ___% complete</li></ul>	
Subsystem interfaces identified and are consistent with their corresponding subsystem design maturity	<ul style="list-style-type: none"><li>IRD / ICD's with ___ TBDs / TBRs</li></ul>	
Project risks identified & mitigation strategies defined	Project risk #'s in IRMA risk database	
T&V approach is adequate to proceed	Verification methods identified & test	
S&MA adequately addressed in the preliminary design & the preliminary design-based S&MA requirements & approach have been approved	Hazard report #'s & referenced S&MA analysis	



# Verification

(What we learned from PA-1)



## Early-on:

- Believed defining & implementing workable requirements would be the greater challenge
- Foregone conclusion that the easier task would be to record the verification of those same requirements later in the lifecycle. **(WRONG)**

## Looking-back:

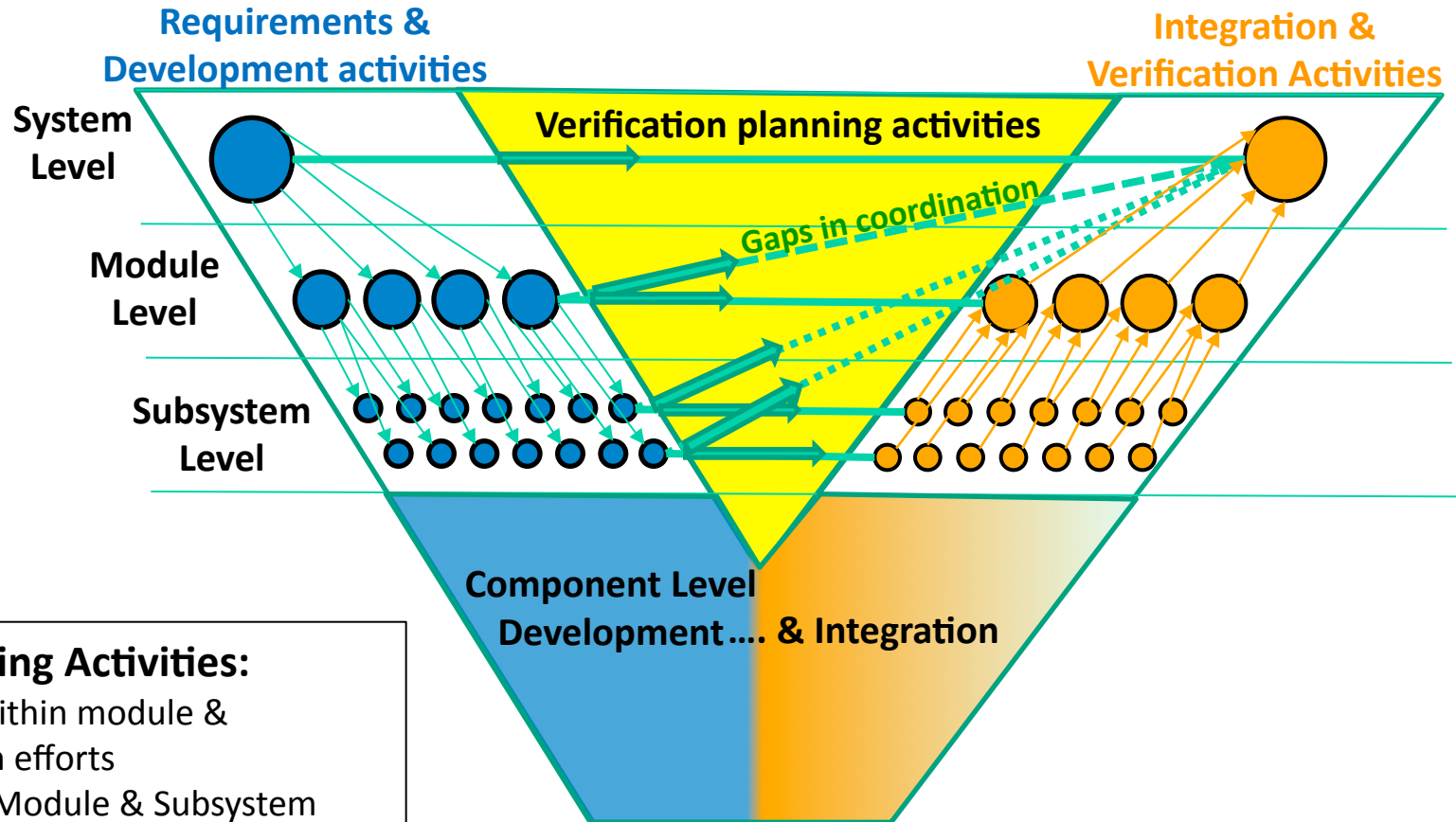
### • Experience taught us:

- No tasks can realistically be categorized as significantly easier through lifecycle
- Complexity of coordinating the human element of requirements verification comparable to human element challenge of implementing those same requirements earlier in the lifecycle.
  - i.e. Coordinating latest versions of test results & analysis at each associated level while briefing burn-down status
    - Next slide touches on contributors to this challenge



# Verification Planning

(What we learned from PA-1)



## Verification Planning Activities:

- Strong correlation within module & subsystem verification efforts
- Gaps in correlating Module & Subsystem verifications with System level verif. activities
  - Leads busy implementing requirements & design early in lifecycle
  - Less time to tie all levels in system verification planning
  - Made for more work later in the lifecycle to correlate latest (under the gun).

## Lesson Learned:

- Where ever possible: Complete system verification planning efforts with module & subsystem leads earlier in the lifecycle
  - Set up more direct 'check-list' of tasks to reduce avoidable system-wide review & analysis later in the lifecycle






## Actual PA-1 Subsystem Verification Chart briefed to Mgmt.

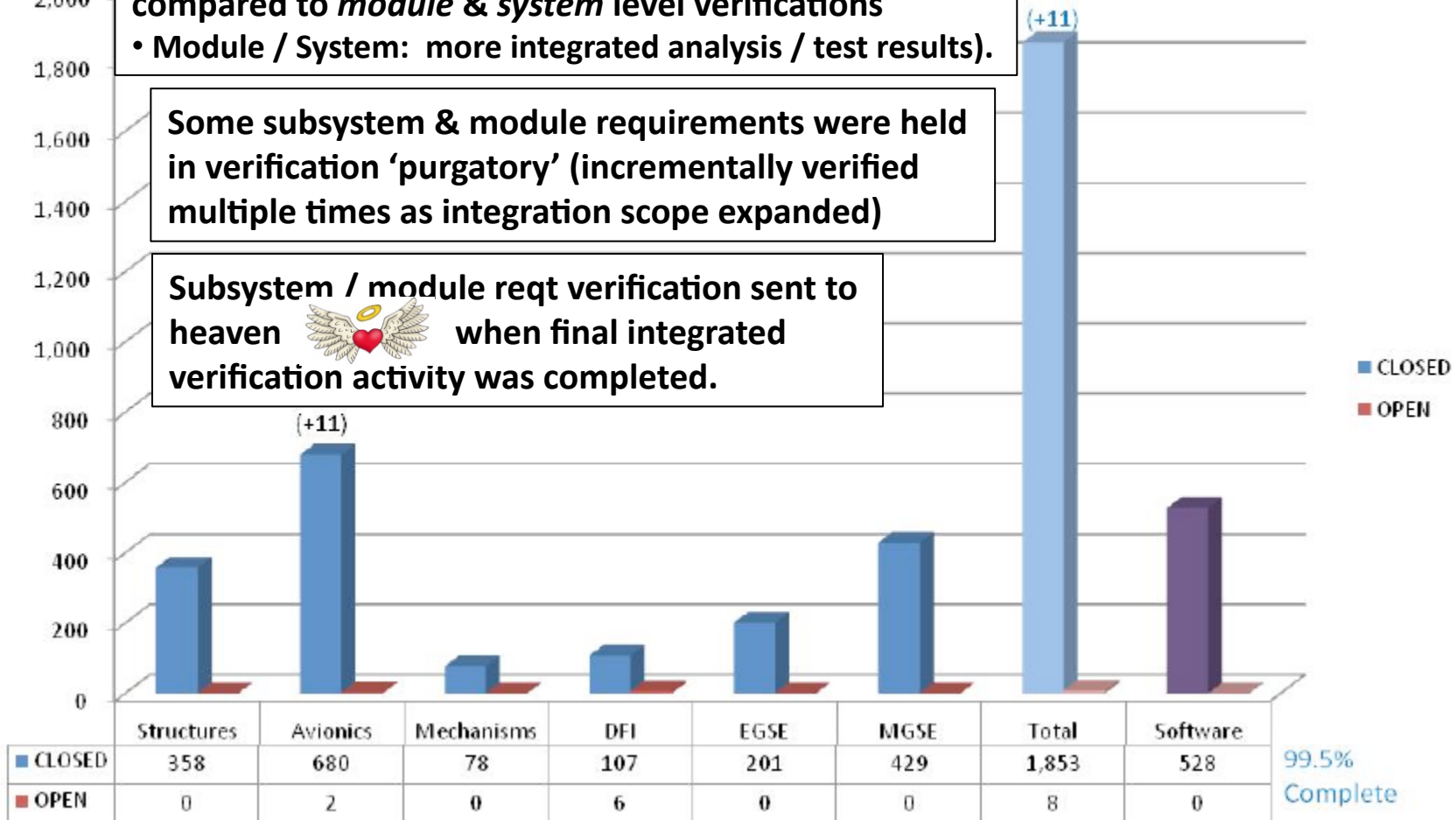


### What we learned from PA-1...

Most subsystem verifications were more straight fwd compared to *module & system* level verifications  
• Module / System: more integrated analysis / test results).

Some subsystem & module requirements were held in verification 'purgatory' (incrementally verified multiple times as integration scope expanded)

Subsystem / module reqt verification sent to heaven  when final integrated verification activity was completed.



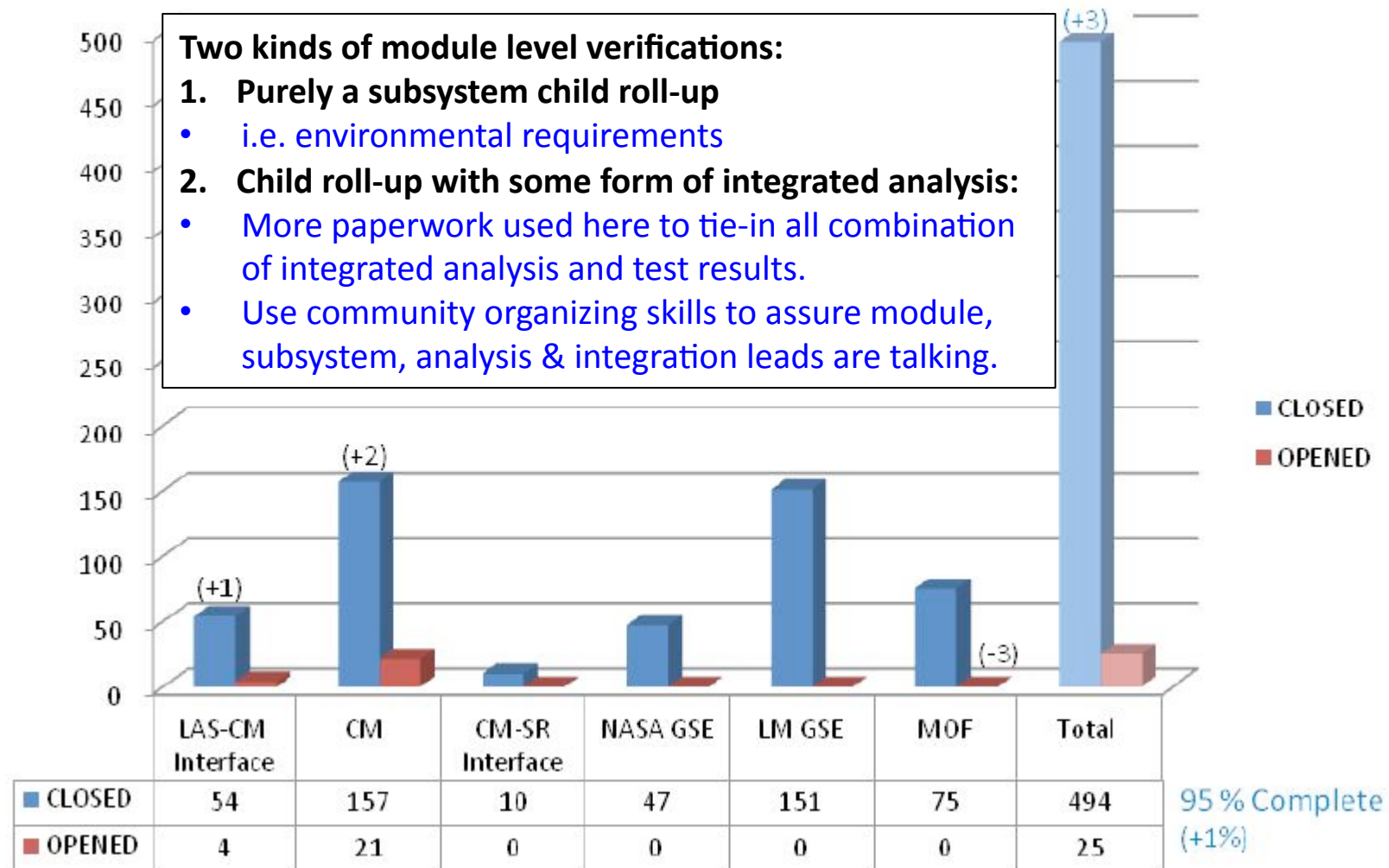
129 subsystem requirements have been closed since January



## Actual PA-1 Module Level Verification Status Chart briefed to Mgmt.



### What we learned from PA-1...





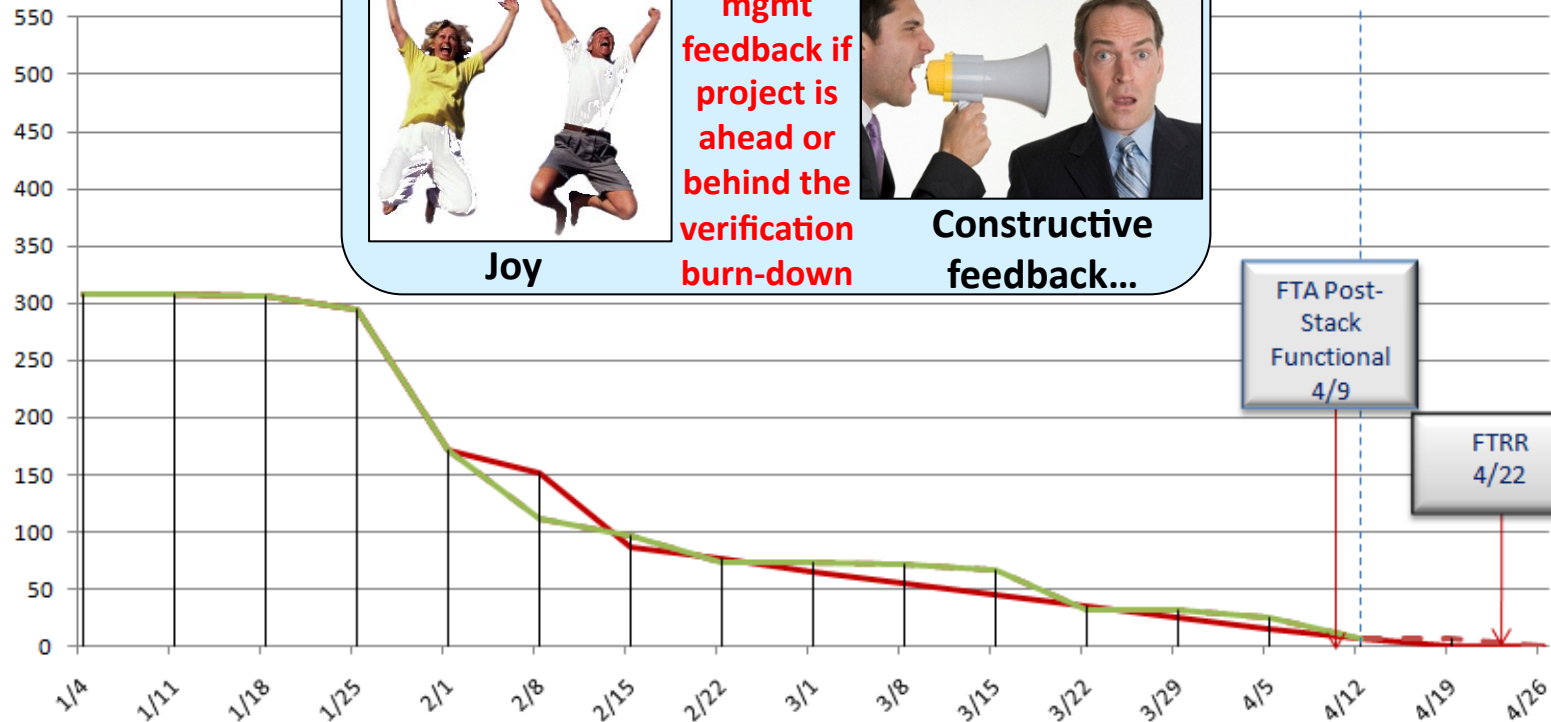
# Actual Module Reqts. Burn-down Chart Briefed to Mgmt.



## What we learned from PA-1...

Total Module-level Verifications

522



	1/4	1/11	1/18	1/25	2/1	2/8	2/15	2/22	3/1	3/8	3/15	3/22	3/29	4/5	4/12	4/19	4/26
Verifications Plan	308	308	306	294	172	152	87	76	65	55	45	35	25	15	7	0	0
Verifications Projected	308	308	306	294	172	111	97	74	73	71	66	31	31	25	7	6	0
Verifications Actual	308	308	306	294	172	111	97	74	73	71	66	31	31	25	7		

7 unverified requirements  
- 6 to close after FTRR (waiting on future activities)

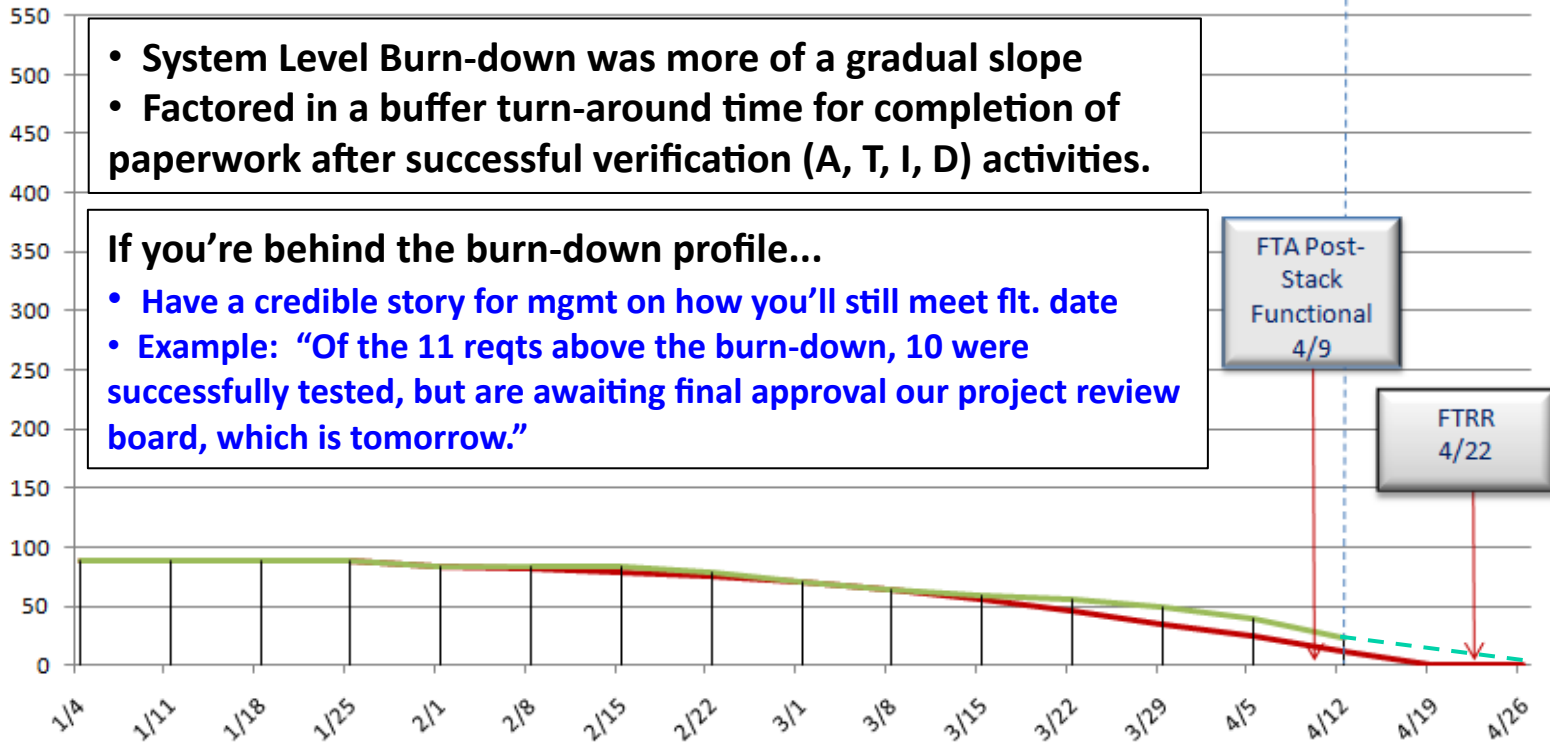


## Actual System Reqs Verification Burndown briefed to Mgmt.



### Total System-Level Verifications

94



	1/4	1/11	1/18	1/25	2/1	2/8	2/15	2/22	3/1	3/8	3/15	3/22	3/29	4/5	4/12	4/19	4/26
System Req Plan	88	88	88	88	84	82	79	75	70	64	56	46	35	24	12	0	0
System Req Actual	88	88	88	88	84	83	83	79	70	64	59	56	49	39	23		



## Conclusions & Perspectives Gained



- Get engaged early with ALL of your parent stakeholders – Establish technical rapport
- Importance of looking at organic parts of the project supporting the system.
  - i.e. Project organization, processes, various disciplines, human nature
  - Needs to be worked in parallel with defining the system
  - Reflects the architecture

• The more clear things can be made within the team, the more achievable a project-centric culture will be.

- Single reference points for (defined preferably in a collaborative web environment):
  - Project & Team meetings (with charters)
  - Technical & Project decision process - For decisions affecting project or technical baselines
  - Schedule
  - Organizational structure & roles / responsibilities
  - Risk Mgmt
  - Configuration Mgmt
  - Problem reporting & resolution
  - Technical Review approach & entrance / exit criteria
  - Key project & engineering documents
  - Verification Planning

• To get a large group of individuals in different orgs across the country to develop a cohesive system...

- Takes more than a sound SE approach
- It also requires a human interaction mindset that is not intuitive to most engineers.





## Conclusions & Perspectives Gained (cont.)



- Get stakeholder buy-in of architecture definition before deriving system requirements
  - Derive system requirements from architecture definition.
- Have a template for subsystem presenters at technical reviews tailored from NPR 7123.1a entrance / exit criteria
- Verification coordination will sneak up on you if not thoroughly completed early-on
  - Correlate Module & Subsystem verifications with System level verification activities early-on
  - Reduces frantic scrambling around later in the lifecycle

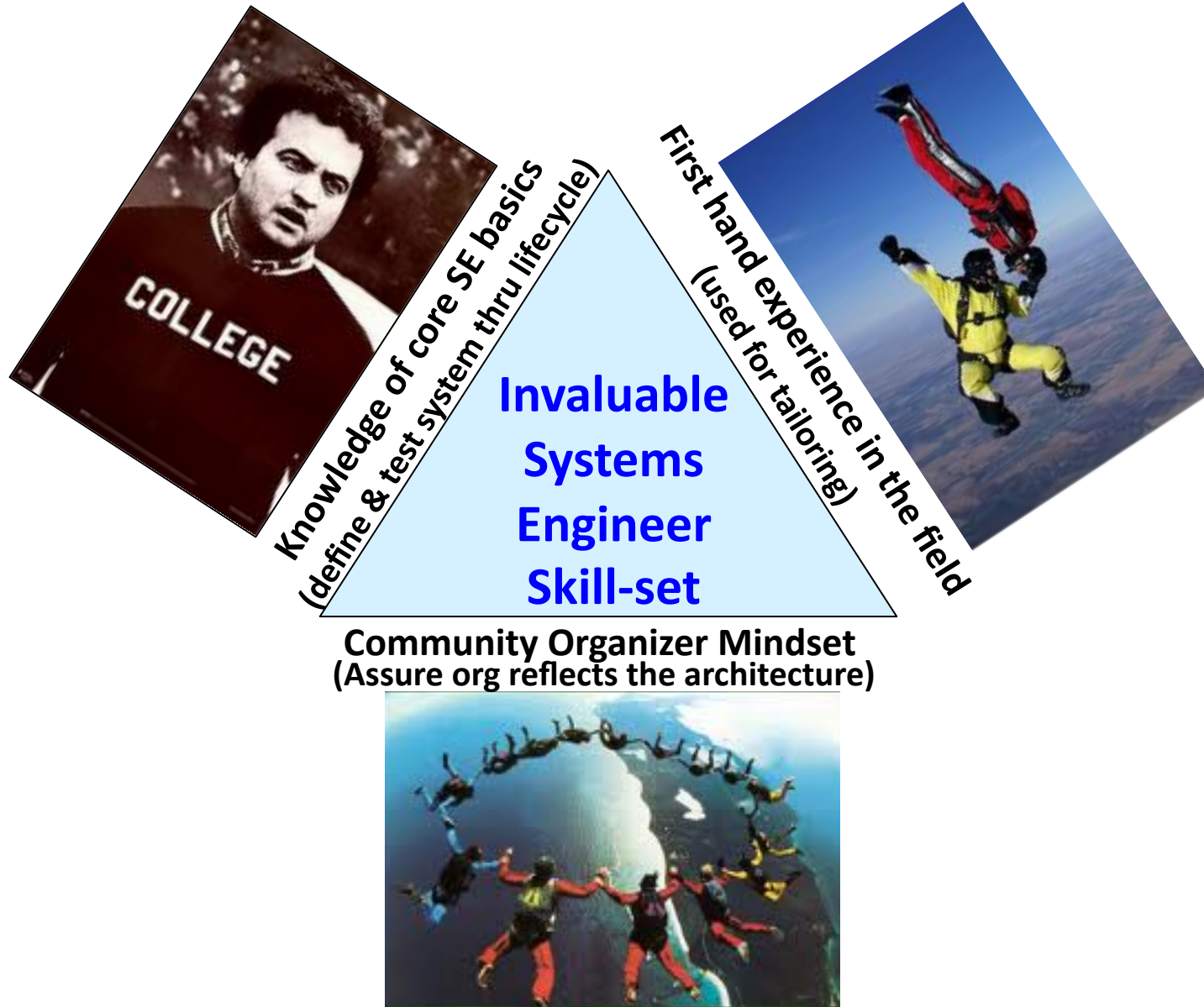
### Side Notes:

- PA-1 project passed 2010 NASA OCE Systems Engineering audit
- 2011 NASA Systems Engineering (SE) Excellence awarded to the Orion Pad Abort-1 SE Team



# Conclusions & Perspectives Gained (Cont.)

## Systems Engineer Triangle



**Questions ???**

